Docket: 0756-7220

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re DIVISIONAL application of	)	
SETSUO NAKAJIMA et al.	)	
Based on Serial No. 09/764,432	)	Group Art Unit: 2823
Filed: January 19, 2001	)	Examiner: W. Brewster
For: METHOD OF FABRICATING	)	
SEMICONDUCTOR DEVICE	)	

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing references known to Applicant and requests that these references be made of record in the above identified application. Copies of the references listed are submitted herewith in accordance with 37 C.F.R. 1.98(a).

The references listed on the attached Form PTO-1449 were cited in parent application Serial No. 09/764,432 and parent application Serial No. 09/114,223 and copies of the references can be found in those applications.

Respectfully submitted,

Eric J. Robinson

Reg. No. 38,285

Robinson Intellectual Property Law Office

PMB 955

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(571) 434-6789 (Voice)

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Substitute for form 1449A/PTO  INFORMATION DISCLOSURE				Complete if Known		
				Application Number	Based on 09/764,432	
	STATEMENT BY APPLICANT			Filing Date	January 19, 2001	
SIAI				First Named Inventor	Setsuo NAKAJIMA, et al.	
	(use as many sheets o	as necessa	(ציזו)	Group Art Unit	2823	
				Examiner Name	William M. Brewster	
Sheet	1	of	5	Attorney Docket Number	0756-7220	

				U.S. PATENT DOCUMEN	TS	
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent I	Nocument  Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear
		5,962,871	(l) Kilowity	Zhang et al.	10/05/99	
		5,830,784		Zhang et al.	11/03/98	
	<del></del>	5,736,420		Min et al.	04/07/98	
	<del></del>	5,700,333		Yamazaki	12/23/97	
	<del> </del>	5,616,507		Nakai	04/01/97	<u> </u>
		5,605,847	-	Zhang	02/25/97	
	<del> </del>	5,915,174		Yamazaki et al.	06/22/99	
	+	5,961,743		Yamazaki et al.	10/05/99	
		5,977,559	<del></del> -	Zhang et al.	11/02/99	
		5,843,225	· · · · ·	Takayama et al.	12/01/98	
		5,244,819		Yue	09/14/93	
		5,275,851		Fonash et al.	01/04/94	
		5,403,772		Zhang et al.	04/04/95	
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		4,561,171		Schlosser	12/03/85	
		5,426,061		Sopori	06/20/95	
		5,085,711		Iwamoto et al.	02/04/92	
		5,380,372		Campe et al.	01/10/95	
		5,620,910		Teramoto	04/15/97	
		5,621,224		Yamazaki et al.	04/15/97	
		5,624,851		Takayama et al.	04/29/97	
		5,637,515		Takemura	06/10/97	

			F	OREIGN PATENT DOC	UMENTS	·	,
Examiner Initials*	Cite No.		Foreign Patent Document	Name of Patentee or	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	
		Office <sup>3</sup>	Kind Code <sup>3</sup> Number <sup>4</sup> (if known)	Applicant of Cited Document	MM-DD-YYYY	Figures Appear	T <sup>6</sup>
		JP	06-268212		09/22/94		Abst.
		JP	03-229415		10/11/91		Abst.
		JP	06-333824	<u> </u>	12/02/94		Abst.

Examiner	I	Date	
Signature		Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE					Complete if Known		
				Application Number	Based on 09/764,432		
STATEMENT BY APPLICANT				Filing Date	January 19, 2001		
-			•	First Named Inventor	Setsuo NAKAJIMA, et al.		
(u	e as many sheets o	as necesso	ary)	Group Art Unit	2823		
				Examiner Name	William M. Brewster		
Sheet 2		of	5	Attorney Docket Number	0756-7220		

	<u> </u>					
			U.S. PATENT DOCUMEN	TS		
Examiner Initials*	Cite No. <sup>1</sup>	Number Kind Code (if known)		Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where R Passages or Relevant Figures A	
		5,639,698	Yamazaki et al.	06/17/97		
		5,643,826	Ohtani et al.	07/01/97		
		5,646,424	Zhang et al.	07/08/97		
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		5,663,077	Adachi et al.	09/02/97		
		5,677,549	Takayama et al.	10/14/97		
	•	OTHER !	PRIOR ART - NON PATENT LITERAT	TURE DOCUMENTS		
Examiner Initials	Cite No.1		the author (in CAPITAL LETTERS), title azine, journal, serial, symposium, catalog, e publisher, city and/or country w	etc.)., date, page(s), volume-iss		T <sup>2</sup>
		pp. 921-924, March	lle-Like Crystallization of Ni I 1993, Solid State Communicat	tions, Vol. 85, No 11.		
		66-68, December 19	Temperature Selective Crysta 89, Journal of Non-Crystalline	Solids, Vol. 115.		
		Implantation of 3D I	"Transport Phenomena in Am Metals," pp. 635-640, Novemb	er 1989, Phys. Stat. S	ol., Vol. 95.	
			situ Transmission Electron M morphous Silicon," pp. 225-22			
		Amorphous Silicon, 65.	tallized Si Films by Low-Tem 'pp. 2069-2072, November 19	988, Journal of Applie	ed Physics, Vol.	
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		Temperature Rapid 55, No. 7.	Area Crystallization of Amor Thermal Annealing," pp. 660-6	662, June 1989, Appl.	Phys. Lett., Vol.	
		Edelman et al., "Stru 232-235, April 1997	icture and Transport Properties, IEEE 16 <sup>th</sup> Int'l Conference of	s of Microcrystalline S n Thermoelectrics.	SiGe Films," pp.	

	Examiner	Date	
ı	Signature	Considered	

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Attorney Docket Number	0756-7220
	Application Number Filing Date First Named Inventor Group Art Unit Examiner Name

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		5,696,011	Yamazaki et al.	12/09/97	
·	+	5,696,386	Yamazaki	12/09/97	
· · · · · ·		5,696,388	Funada et al.	12/09/97	
	<del> </del>	5,705,829	Miyanaga et al.	01/06/98	
···		5,712,191	Nakajima et al.	01/27/98	
	1	5,744,824	Kousai et al.	04/28/98	
		5,789,284	Yamazaki et al.	08/04/98	
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		5,828,429	Takemura	10/27/98	1
		5,897,347	Yamazaki et al.	04/27/99	
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		5,444,001	Tokuyama	08/22/95	
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		5,488,000	Zhang et al.	01/30/96	
		5,492,843	Adachi et al.	02/20/96	
		5,501,989	Takayama et al.	03/26/96	
		5,508,533	Takemura	04/16/96	
		5,529,937	Zhang et al.	06/25/96	
		5,534,716	Takemura	07/09/96	
		5,543,352	Ohtani et al.	08/06/96	
		5,563,426	Zhang et al.	10/08/96	
		5,569,610	Zhang et al.	10/29/96	

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	Examiner	Date	
•	Signature	Consid	ered

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Substitute for form 14	149A/PTO		C	omplete if Known
INFORMAT	TION DISC	LOSUDE	Application Number	Based on 09/764,432
			Filing Date	January 19, 2001
	STATEMENT BY APPLICANT		First Named Inventor	Setsuo NAKAJIMA, et al.
(use as n	any sheets as necess	ary)	Group Art Unit	2823
			Examiner Name	William M. Brewster
Sheet 4	of	5	Attorney Docket Number	0756-7220

		· · · · · · · · · · · · · · · · · · ·	U.S. PATENT DOCUMEN	NTS	
Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document  Number Kind Co		Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,569,936	Zhang et al.	10/29/96	
_	+	5,580,792	Zhang et al.	12/03/96	
		5,585,291	Ohtani et al.	12/17/96	
		5,589,694	Takayama et al.	12/31/96	
		5,595,923	Zhang et al.	01/21/97	
		5,595,944	Zhang et al.	01/21/97	
•		5,597,747	Chen	01/28/97	
-		5,604,360	Zhang et al.	02/18/97	
	-	5,605,846	Ohtani et al.	02/25/97	
		5,606,179	Yamazaki et al.	02/25/97	
		5,612,250	Ohtani et al.	03/18/97	
***	1	5,614,426	Funada et al.	03/25/97	
***	+	5,614,733	Zhang et al.	03/25/97	
		5,616,506	Takemura	04/01/97	
		6,077,731	Yamazaki et al.	06/20/00	
	+	4,994,399	Aoki	02/19/91	
	+	6,251,712	Tanaka et al.	06/26/01	
	-	6,048,758	Yamazaki et al.	04/11/00	
	+	6,218,219	Yamazaki	04/17/01	-
	+	6,242,290	Nakajima et al.	06/05/01	
	+	6,156,628	Ohnuma et al.	12/05/00	
	+	6,133,073	Yamazaki et al.	10/17/00	
	<del>                                     </del>	5,961,743	Yamazaki et al.	10/05/99	
		5,893,730	Yamazaki et al.	04/13/99	
		5,705,829	Miyanaga et al.	01/06/98	
	<del></del>	6,424,012	Kawasaki et al.	07/23/02	
	<del> </del>	6,326,642	Yamazaki et al.	12/04/01	
		6,281,552	Kawasaki et al.	08/28/01	
		6,197,624	Yamazaki	03/06/01	
		5,998,841	Suzawa	12/07/99	
***		5,895,935	Yamazaki et al.	04/20/99	
-		6,383,852	Zhang et al.	05/07/02	

Examiner	Date	
Signature	Considered	

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INFO	RMATION I	)ISC	OSUDE	Application Number	Based on 09/764,432
				Filing Date	January 19, 2001
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	(use as many sheets o	as necesso	iry)	Group Art Unit	2823
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Sheet	5	of	5	Attorney Docket Number	0756-7220

				U.S. PATENT DOCUMENT	ΓS	
Examiner Initials	Cite No.1	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)				
		6,380,046		Yamazaki	04/30/02	
		6,359,320		Yamazaki et al.	03/19/02	
		6,346,070		Ohmatsuzawa et al.	02/12/02	
	<del>                                     </del>					

					FOREIGN PATENT DOC	CUMENTS		
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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>3</sup> (if known)	Applicant of Cited Document	MM-DD-YYYY	Figures Appear	T <sup>6</sup>
			0	THER PRIC	R ART – NON PATENT LIT	ERATURE DOCUMENTS		
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.						
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